A Study of Publish/Subscribe Systems for Real-Time Grid Monitoring

Chenxi Huang, Peter R. Hobson, Gareth A. Taylor School of Engineering & Design, Brunel University, Uxbridge, UK chenxi.huang@brunel.ac.uk

Abstract - Monitoring and controlling a large number of geographically distributed scientific instruments is a challenging task. Some operations on these instruments require real-time (or quasi real-time) response which make it even more difficult. In this paper, we explain the requirements of distributed monitoring for a possible future Electrical Power Grid based on real-time extensions to Grid computing. We examine several standards and publish/subscribe middleware candidates, some of which were specially designed and developed for Grid monitoring. We analyze their architecture and functionality, and discuss the advantages and disadvantages. We report on a series of tests to measure their real-time performance and scalability.

Index terms – monitoring, real time systems, distributed computing, grid computing, publish/subscribe system

I. INTRODUCTION

In the foreseeable future, there will be a larger number of small power generators that use renewable energy sources. They will be highly dispersed in different physical locations. Monitoring and control of the ordinary activities of such generators will become an important issue [1]. Power generators typically produce data for monitoring that can be collected remotely and updated frequently. Up to tens of thousands of power generators will be distributed all over the UK, some of them will only be connected through a low speed ADSL line. Data monitoring must be processed in real-time in order to accurately coordinate and control the generators. For example, if a power generator has been switched on but does not respond for a long time then it will be considered to be malfunctioning. A real-time system does not need to be very fast but should be stable and respond within a reasonable predefined time limit. Power Grid monitoring is a distributed soft real-time monitoring system. Most of the data for monitoring should be received within a time limit (e.g. 5 seconds). A small number of delays are sometimes allowed (e.g. less than 0.5%). Traditional monitoring systems are highly centralized and run on dedicated Wide Area Networks (WANs). Considering the distributed nature and the large number of the generators, this solution is

expensive and will not scale very well. The GRIDCC (Grid enabled Remote Instrumentation with Distributed Control and Computation) project [2] aims to realize distributed monitoring and control via Grid Computing. One of the objectives is to find a scalable distributed monitoring solution that could satisfy the soft real-time requirements of the Power Grid.

The paper is organized as follows: We examine and analyze the functionalities and architecture of candidate publish/subscribe middleware in section II, discuss the experiments and analyze the results in section III, review related work in section IV and conclude in section V.

II. PUBLISH/SUBSCRIBE SYSTEMS

A publish/subscribe (pub/sub) system is a many-tomany data dissemination system. Publishers publish data and subscribers receive data that they Publishers and subscribers interested in. are independent and need to know nothing about each other. The middleware delivers data to destination. The middleware's functionality is more than forwarding data from source to destination. It provides advanced functions like data discovery, dissemination, filtering, persistence and reliability, etc. Data are discovered through the middleware and can be transferred directly from publisher to subscriber or via a broker. The subscriber can be automatically notified when new data becomes available. Compared to a traditional centralized synchronized client/server and communication model, pub/sub system asynchronous and is usually distributed and scalable.

Considering the distributed nature and high performance requirement, pub/sub systems seem to be the best solution towards distributed monitoring. Several proposals and implementations have been developed for Grid monitoring. We examine these candidates in the following sections.

A. GMA and R-GMA

Recognizing the complicated nature of a monitoring system for Grid Computing, Global Grid Forum (GGF) proposes Grid Monitoring Architecture (GMA) [3] as a solution. The objective of GMA is to facilitate the

development of interoperable and high performance monitoring middleware. GMA divides a pub/sub middleware into three basic components: producer, consumer and directory service. The producer gathers data from various sources, such as instrument and computer server. A consumer subscribes to data from a producer and forwards them to destination. A distinct design is that data discovery is separated from data transfer between producer and consumer to improve scalability and performance. Data discovery is through a directory service. A directory service is an where producer/consumer information service publishes its existence and relevant metadata to. Consumer searches directory for the producer that it is interested in. Then they can establish connection and transfer data directly. GMA proposes three data transfer modes between producer and consumer: publish/subscribe, query/response, and notification. In publish/subscribe, either producer or consumer can initiate. The producer sends data continuously. Either side can terminate. In query/response, a consumer starts and the producer sends all the data to the consumer in one response. In notification, the producer must be the initiator. The producer sends all the data to the consumer in one notification.

Relational Grid Monitoring Architecture (R-GMA) [4] is an implementation of GMA. The novel design of R-GMA is that it has a large virtual database (Fig. 1) which looks and operates like a conventional relational database. It supports a subset of the standard SQL language. Data are published using SQL INSERT statement and queried using SQL SELECT statement. The difference between a virtual database and conventional relational database is that a virtual database has no central storage and data are distributed all over the network.

Data discovery is through registry and schema. Producers and consumers register their addresses in the registry and declare the name and structure of the table in the schema. There is only one schema and one registry in a virtual database. Data must be disseminated via producer and consumer to reach destination. R-GMA supports four kinds of queries between consumer and producer: continuous, latest, history and static. However, data transfer between consumer and destination is query/response only.

R-GMA conforms to Web Services Architecture. It uses SOAP messaging over HTTP/HTTPS and Java Servlet technology to exchange request/response (except data streaming which is implemented in a more efficient way). R-GMA APIs are available in several

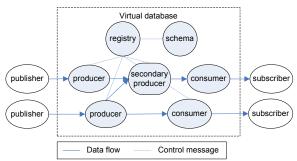


Fig. 1. R-GMA virtual database programming languages, such as Java, C, C++ and Python.

B. JMS and NaradaBrokering

Java Message Service (JMS) [5] is a widely accepted industry standard that aims to simplify the effort needed for applications to use Message Oriented Middleware (MOM). JMS defines a set of Java APIs (Application Programming Interfaces), with which Java programmers can send and receive messages via MOM in a uniform and vendor-neutral way regardless of what the actual underlying middleware is.

Data are discovered through destination. There are two kinds of destinations: *queue* and *topic*. Data are wrapped in a JMS message, which consists of header, properties and body.

JMS supports two data dissemination modes: Point-To-Point (PTP) (brokerless) and publish/subscribe (brokered). PTP is a one-to-one mode. Publish/subscribe is a many-to-many mode. Messages are delivered via a topic. JMS supports synchronous and asynchronous data transfers. For synchronous transfer, subscriber can either poll or wait for the next message. For asynchronous delivery, subscriber registers itself as a listening object, publisher will automatically send message by invoking a method of the subscriber (callback).

NaradaBrokering [6] is an open source, distributed messaging infrastructure. It provides functionality of Message Oriented Middleware and is fully compliant with JMS.

Entities are publishers or subscribers who publish or subscribe data via NaradaBrokering. SOAP message, JMS message and complicated events are all supported. NaradaBrokering supports PTP and pub/sub data dissemination modes, and synchronous and asynchronous data transfer modes proposed by JMS. NaradaBrokering supports a number of Web Services and Grid Services specifications, such as WS-Resource Framework (WSRF), WS-Notification and WS-Eventing.

Several brokers can form a Broker Network Map (BNM) (Fig. 2). A specialized node called Broker Discovery Node (BDN) can discover new brokers. NaradaBrokering has a very efficient algorithm to find a shortest route to send the events to the destination in

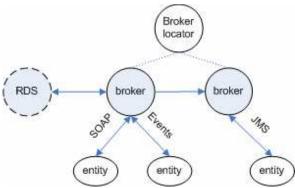


Fig. 2. NaradaBrokering network map a BNM.

NaradaBrokering is a very fast message dissemination middleware and it has been successfully adopted for audio/video conferencing. NaradaBrokering supports a number of underlying data transport protocols, including blocking and non-blocking TCP, UDP, multicast, SSL, HTTP, HTTPS and Parallel TCP streams.

III. EXPERIMENTS

We chose NaradaBrokering and R-GMA as candidates because NaradaBrokering is an open-source, high performance middleware. It is JMS compliant and has been successfully used for video/audio conferencing. R-GMA is developed for Grid monitoring. It has very good scalability and provides useful functionality like latest and historical query, content based filtering, etc. We are going to test how the underlying transport protocols and number of concurrent connections will affect performance. We are also going to measure the real-time performance and throughput of a single server and a scalable and distributed architecture.

A. Test environment

Hydra cluster consists of 8 identical computer nodes, (Hydra1 to Hydra8). They are interconnected with each other using a 100Mbps switch to setup a private LAN. The LAN is isolated from outside to ensure the validity of the test results. The actual data transfer rate within the LAN is 7 ~ 8 Mbytes per second (tested and reported by Linux sftp). Our tests were all performed in Hydra cluster. We installed Linux, Java and testing software on Hydra nodes. The hardware specifications and software versions are listed in Table I. NaradaBrokering is written in Java and requires Java

Virtual Machine. R-GMA is implemented in Java Servlet and requires Tomcat, MySQL and Java Virtual Machine.

B. Power Grid simulation

We have developed a Java program to simulate the activities of a large number of distributed power

TABLE I HARDWARE SPECIFICATIONS AND SOFTWARE VERSIONS

CPU and	OS and JVM	Middleware		
memory				
PentiumIII	Sci Linux, kernel	NaradaBrokering		
866MHz, 2GB	2.4.21, Sun Hotspot	v1.1.3, RGMA gLite		
	JVM 1.4.2	v3.0, Tomcat v5.0.28		

generators. It could fork into a large number of threads. Each thread simulates one power generator and generates monitoring data, such as power output and voltage. These monitoring data are published to the middleware periodically at a specified interval (e.g. 10 sec.). Another Java program receives data from the middleware and processes them. Information of the monitoring data (such as sending and receiving time, etc) is dumped into a local text file for later analysis, for example, to calculated round-trip time, etc. Data dumping uses highly efficient logging APIs which are mainly cached hard-drive write operations, the overhead and operating time are negligible. When simulating 750 generators on one computer, the publishing rate was 75 messages per second. Throughput was <50KB/sec. CPU idle time was above 85%. For most tests, we simulated no more than 750 generators on one computer. We simulated 1000 generators per computer in only one test and the result seemed to be consistent.

C. Performance metrics

We used the following parameters to measure performance [7] [8]: Round-Trip Time (RTT), RTT variation, loss rate and percentile of RTT. RTT was calculated as the mean round-trip time of all the messages. The round-trip time of each message was the difference between sending and receiving time. RTT variation was calculated as the standard deviation (STDDEV) of all the round-trip times. Percentile of RTT was the percentage of the round-trip times.

Publishing and subscribing are asynchronous operations, which consist of two synchronous operations. Response time is the time to complete one synchronous operation, which is the time it takes to send or receive a message.

We recorded CPU idle time and memory consumption using Linux tool *vmstat*. CPU idle time was calculated as the average of CPU idle time during the tests. Memory consumption was calculated as the difference between peak and bottom values, which was

sometimes not very accurate because Linux used some memory as cache.

D. NaradaBrokering tests

Simulated power generators were created at the interval of 0.5 second. Each generator first slept for a random time between 10 to 20 seconds to allow the monitoring data to distribute evenly, it then used a JMS TopicPublisher to publish data to a JMS topic at the interval of 10 seconds. Two integer, five float, two long, three double and four string values were packaged in a JMS MapMessage as monitoring data. Another Java program used JMS notification mechanism to receive monitoring data from the same topic. It created a *listener* and subscribed to the topic with a simple JMS selector (e.g. "id<10000"). This selector did not filter out any data but just to simulate real uses. The listener would be automatically notified by Narada broker when new messages become available. All the tests used non-persistent delivery, non-durable subscription, non-transaction, non-priority AUTO ACKNOWLEDGE settings otherwise indicated.

In order to create more than 1000 threads, we used Linux command to set file descriptors to 50000 ('ulimit -n 50000'). We allocated 1GB memory for Java Virtual Machine of NaradaBrokering ('-

TABLE II COMPARISON TESTS SETTINGS

	Transport	ACK	comment
	protocol	mode	
Test1 (UDP)	UDP		
Test2 (UDP CLI)	UDP	CLIENT	
Test3 (NIO)	NIO		
Test4 (TCP)	TCP		
Test5 (Triple)	TCP		Triple payload
Test6 (80)	TCP		80 connections

Xms1024m -Xmx1024m').

1) Comparison tests:

The aim of these tests was to measure how different underlying transport protocols, payload and concurrent connections could affect performance. We simulated 800 power generators. Each test lasted 30 minutes and was performed twice to ensure validity. The settings of the tests are listed in TABLE II. All tests used AUTO_ACKNOWLEDGE except test 2, which used CLIENT_ACKNOWLEDGE. Test 5 (Triple) used triple payload and the publishing rate was reduced to 1/3, therefore the total data delivered remained the same. Test 6 (80) used 80 generators (concurrent connections), which is 1/10 of the other test. The publishing rate was increased 10 times, therefore the total data delivered remained the same.

In test 1 (UDP), a total of 144,000 messages were sent and 143,914 messages were received. The loss rate was 0.06%. In test 2 (UDP CLI), the loss rate was

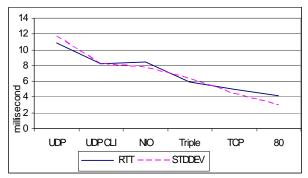


Fig. 3. Narada comparison tests Round-Trip Time and Standard Deviation

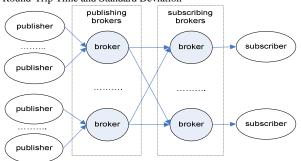


Fig. 5. Distributed architecture

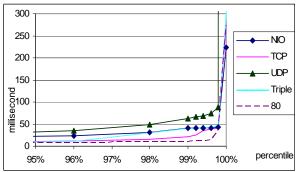


Fig. 4. Narada comparison tests, percentile of RTT

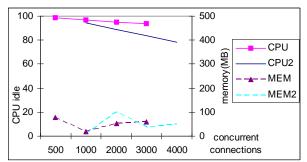


Fig. 6. Narada tests, CPU idle and memory consumption CPU and MEM are single server tests CPU2 and MEM2 are DBN tests

0.03%. For all other tests, the loss rate was zero.

The results of the tests (fig. 3 & fig. 4) show that TCP is a very stable transport protocol and has excellent performance. The results of UDP test are surprisingly high. RTT and STDDEV are more than double of those of TCP test. The possible reason is that we used JMS over UDP. UDP is connectionless which has no guarantee whether a packet will be received or not, but JMS requires an acknowledgement. The way that Narada acknowledges the messages severely slows the performance down. The root cause needs further investigation. We changed acknowledgement mode to CLIENT ACKNOWLEDGE and did the same test again (test 2). The results were better but still worse than TCP. NIO (non-blocking TCP) is said to have better performance than TCP (blocking). But test 3 (NIO) shows that for a large number of concurrent connections it is worse than TCP. Test 5 (Triple) and test 6 (80) were aimed to compare the effect of the size of the messages and the number of concurrent connections to performance. The performance slowed down with large payload. This implies that Narada is good at small sized messages. Narada performs better with less concurrent connections. This is easy to understand. The percentile of RTT is shown in fig. 6.

2) Performance and scalability tests:

We simulated a number of generators to test the

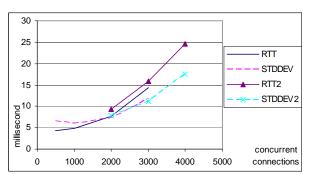


Fig. 7. Narada tests, round-trip time and standard deviation RTT and STDDEV are standalone server tests RTT2 and STDDEV2 are DBN tests

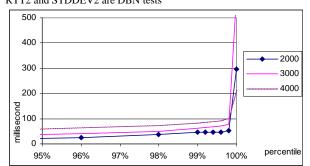


Fig. 9. Narada DBN tests, percentile of RTT For a number of 2000 ~ 4000 concurrent connections

performance of a single Narada broker and Distributed Broker Network. The test settings were the same as previous TCP test (test 4).

We setup a distributed topology which could take advantage of middleware's capability to efficiently route messages to destinations. We believe this topology is scalable. It can sustain an even larger number of concurrent connections and maintain a good performance (fig. 5). Brokers are components of pub/sub middleware. They are located on different computers and interconnected with each other to comprise a broker network. Publishers connect to publishing brokers. Subscribers connect to subscribing brokers. Messages published to any one of the brokers can be received by any subscriber who is also connected to the network and has subscribed data. A publishing broker accepts no more than m concurrent connections. A subscribing broker accepts throughput of no more than n. If m and n are the safe thresholds for a broker, which will not cause "out of memory" error or severe performance slow down, then this topology is scalable and is able to maintain a large number of concurrent connections. We used four nodes to setup a Distributed Broker Network (DBN). One of them was the unit controller and assigned addresses to the other three nodes. We used the other four nodes to simulate generators and publish data. Data were

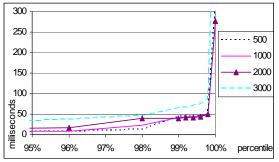


Fig. 8. Narada single server tests, percentile of RTT For a number of $500 \sim 3000$ concurrent connections

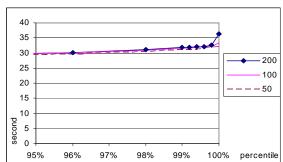


Fig. 10. R-GMA Primary and Secondary Producer tests For a number of 50 ~ 200 concurrent connections percentile of RTT

received by the node where they were sent and there was no time synchronization problem.

Fig. 7, fig. 8 and fig. 9 show the test results. Narada performed very well. 99.8% of messages arrived within 100 milliseconds. There was a smooth increase of round-trip time according to the number of concurrent connections. Both round-trip time and standard deviation were very low. CPU idle time and memory consumption are shown in fig. 6. Our tests also showed that on our testbed a single Narada broker cannot accept 4000 concurrent connections. It ran out of memory to create new threads to serve more incoming connections. The DBN could accept more than 4000 concurrent connections and maintain a good performance.

Round-trip time and standard deviation are compared in fig. 7. We expected the results of DBN tests to be better than single server. However, they are a little disappointing and are higher than single server. We have monitored unnecessary data flow between nodes, that is, data flowed to a node even if there was no subscriber linked to it. This unnecessary data flow slowed performance down. CPU idle and memory consumption were recorded in fig. 7. CPU load was higher than single server, which also proved that. All these strongly suggested that data were not diverged to different routes. It would be difficult for Narada DBN to accept more connections and higher throughput.

3) Summary:

Narada has excellent real-time performance and high throughput. We recommend TCP as the underlying transport protocol to reach high performance. Both message size and publishing rate affect performance. For safety reasons, A single Narada broker should not accept more than 2500 concurrent connections. A Distributed Broker Network could support a larger number of concurrent connections. But the current version has some deficiency that limits its scalability.

E. R-GMA tests

Simulated power generators were created at the interval of 1 second. Each generator waited for a random time between 10 to 20 seconds to make publishing data distribute evenly and to allow R-GMA server enough time to 'warm up'. The generator then used Primary Producer API to publish monitoring data into a table at the interval of 10 seconds. Primary Producers used memory storage to allow fast query. The latest retention period was set to 30 seconds and

history retention period was set to 1 minute. We used four integer, eight double and four char (length 20) values, which were wrapped in an SQL statement, as monitoring data. Consumer used continuous query to receive data from Primary Producers. Another Java program (subscriber) used Consumer API to receive data from the Consumer. The subscriber could not be automatically notified by the Consumer and it queried the Consumer at the interval of 100 milliseconds. Therefore there was a 100 millisecond error. Monitoring data were received by the machine where they were sent and there was no time synchronization problem.

R-GMA server ran within Tomcat. The number of concurrent connection of Tomcat was increased to 1000. Memory allocated to Java Virtual Machine was increased to 1GB ('-Xmx1024m'). R-GMA used non-secure mode and the underlying transport protocol was HTTP.

1) Performance and scalability tests:

We simulated a number of generators to test R-GMA components on single server and distributed R-GMA architecture.

R-GMA has a natural way to implement a distributed architecture. The producers gather monitoring data and consumers provide data for subscribers. An R-GMA server was installed on four Hydra nodes: two Producer nodes and two Consumer nodes. R-GMA client and generator simulation programs were installed on the other four Hydra nodes, two nodes published data and two received data. The time of the computers were synchronized by NTP (Network Time Protocol).

R-GMA supports two underlying application layer protocols for message transfer: HTTP and HTTPS. We did not use HTTPS because of the encryption overhead. In our tests we found that when creating a large number of Primary Producers, each thread must wait for a short time (5 ~ 10 seconds) before publishing data otherwise data will probably be lost. This is probably because it took some time for the producer to look for the consumer. We have tested 400 generators publishing data without waiting for the server to 'warm up'. A total of 72,000 messages were sent and 71,876 messages were received. The loss rate was 0.17%.

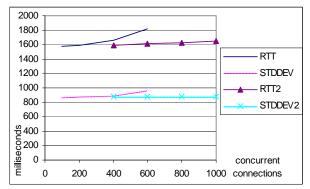


Fig. 11. R-GMA Consumer tests RTT and STDDEV are tests on single server RTT2 and STDDEV2 are distributed network tests

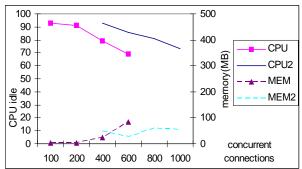


Fig. 13. RGMA Consumer tests, CPU idle and memory consumption CPU and MEM are tests on single server CPU2 and MEM2 are distributed network tests

The results of the Primary Producer and Secondary Producer tests are shown in fig. 10. The delays were up to 35 seconds. The results of the Primary Producer and Consumer tests are shown in fig. 11, fig. 12 and fig. 14. The loss rates were zero for all tests. Both round-trip time and standard deviation were higher than those of Narada tests. 99% of messages arrived within 4000 milliseconds. Our tests also showed that our standalone R-GMA server cannot accept 800 concurrent connections. It ran out of memory to create new threads to serve incoming connections.

Comparing the results, distributed architecture performs better than standalone server. The distributed architecture can accept up to 1000 concurrent connections (and could be even more). CPU idle and memory consumption are shown in fig. 13. CPU load is lower than standalone server. The results strongly suggest that R-GMA scales very well.

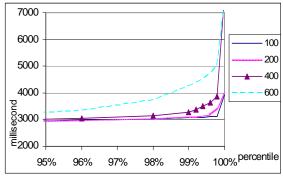


Fig. 12. R-GMA Consumer single server tests, percentile of RTT For a number of 100 ~ 600 concurrent connections

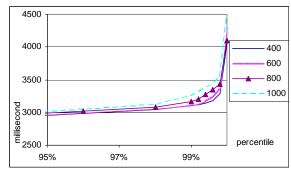


Fig. 14. R-GMA Consumer distributed network, percentile of RTT For a number of $400\sim1000$ concurrent connections

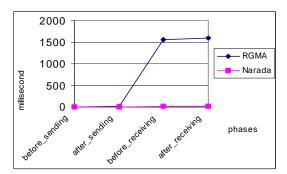


Fig. 15. RTT decomposition

In order to further analyze the performance of R-GMA, we decompose Round-Trip Time into three phases. PRT is Publishing Response Time, which is how long it takes to publish data. PT is Process Time, which is how long it takes to process data in the middleware. SRT is Subscribing Response Time, which is how long it takes to receive data when it is available. Their relationship is represented in the following equation:

$$RTT = PRT + PT + SRT$$

Fig. 15 shows these phases of RGMA and NaradaBrokering. PRT is before sending

after_sending in the graph. PT is after_sending ~ before_receiving. SRT is before_receiving ~ after_receiving. As we can see from the graph, both publishing and subscribing response time of RGMA are fast, but it takes a long time to process data. The three phases of NaradaBrokering are very short.

3) Summary:

R-GMA has lower performance and throughput than NaradaBrokering. A Primary Producer should wait for a few seconds to let R-GMA server 'warm up' to avoid data delay and lost. A single R-GMA Producer server should not accept more than 500 concurrent connections. R-GMA has very good scalability. A distributed R-GMA network has better performance and can deal with a large number of concurrent connections.

We find discrepancies between our test results and [9], where the authors achieved high performance with R-GMA. This is because we tested different versions of R-GMA. They tested an old API of R-GMA (Stream Producer and Archiver) and we tested a newer version (Primary Producer, Secondary Producer and Consumer). We contacted R-GMA developers and found that there was now a deliberate delay of 30 seconds in the Secondary Producer. However, the delays in the Primary Producer and Consumer need further investigation.

IV. RELATED WORK

IBM Reliable Multicast Messaging (RMM) [10] is a high-throughput low-latency publish/subscribe middleware. It is said to be the fastest MOM available. The performance of Publish/subscribe middleware is usually restricted by the size and quantity of the messages delivered. Their study shows that in Message Oriented Middleware the quantity of the messages is the dominant overhead. RMM achieves high performance by using message aggregation. Message aggregation is to reduce the number of total messages by combining several messages addressed to the same destination into one big message. Message aggregation can be accomplished either at the sender side or the middleware side.

X. Zhang et al. [11] have tested and compared three Grid monitoring systems, which were MDS, R-GMA and Hawkeye. They distinguished four components of the systems and tested their throughput and response

TABLE III R-GMA & NARADA COMPARISON

	THE ENTRY CONTINUES TO CONTINUES TO			
	Real-time	Concurrent	Scalability	
	performance	Connections &		
		Throughput		
R-GMA	Average	Average	Very good	
Narada	Very good	Very good	Average	

time separately. Their test results show that different components of different middleware have different performance and the overall performance is similar.

V. CONCLUSION AND FUTURE WORK

We explained the requirements of real-time monitoring of the future Power Grid. We examined the architecture and functionality of several standards and pub/sub middlewares. The middlewares are all decentralized and Web Service compliant. We carried out tests to measure their performance. The test results show that NaradaBrokering has very good real-time performance, high throughput, and average scalability. R-GMA has lower real-time performance, lower throughput and very good scalability (Table III). Considering additional network and application delays, the current version of R-GMA is not suitable for real-time monitoring. We are working with R-GMA developers to improve performance in this area.

We have found a deficiency in the current version of NaradaBrokering, which causes data congestion and limits its scalability. We have contacted the developers and are going to test the newest release in the future. Related work shows that an old version of R-GMA has improved performance compared to the current release. We have contacted R-GMA developers and believe the performance problem can be solved.

R-GMA has many advantages over other MOM middleware. It provides content-based filtering, latest and history query, etc. For applications where there is no such strict real-time requirement, R-GMA will be considered.

Another important conclusion that can be drawn from this research is that when evaluating middleware for real-time monitoring applications an important consideration is the efficiency of the middleware to locate resources within a predefined time limit. If a real-time monitoring resource is not located within a predefined time limit then data loss can occur.

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